

# Inhibitory engrams in perception and memory

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**Nervous systems use excitatory cell assemblies to encode and represent sensory percepts. Similarly, synaptically connected cell assemblies or “engrams” are thought to represent memories of past experience. Multiple lines of recent evidence indicate that brain systems create and use inhibitory replicas of excitatory representations for important cognitive functions. Such matched “inhibitory engrams” can form through homeostatic potentiation of inhibition onto postsynaptic cells that show increased levels of excitation. Inhibitory engrams can reduce behavioral responses to familiar stimuli, thereby resulting in behavioral habituation. In addition, by preventing inappropriate activation of excitatory memory engrams, inhibitory engrams can make memories quiescent, stored in a latent form that is available for context-relevant activation. In neural networks with balanced excitatory and inhibitory engrams, the release of innate responses and recall of associative memories can occur through focused disinhibition. Understanding mechanisms that regulate the formation and expression of inhibitory engrams in vivo may help not only to explain key features of cognition but also to provide insight into transdiagnostic traits associated with psychiatric conditions such as autism, schizophrenia, and posttraumatic stress disorder.**

neural representation | negative image | computational model | inhibitory plasticity | memory

Percepts are thought to be represented in the brain by excitatory activity in groups of neurons, described as cell assemblies (1). Memories may similarly be represented by excitatory connections across different cell assemblies, which form when experiences trigger coordinated activity. Several recent studies indicate that the storage and reactivation of these excitatory “engrams” (2), respectively, may be accompanied by creation and modulation of matched inhibitory engrams. Here, we propose that inhibitory engrams, also termed “negative images” or “inhibitory representations,” explain two fundamental features of animal and human psychology: first, behavioral habituation, which allows organisms to ignore familiar, frequently encountered percepts or stimuli, and, second, latent memory, wherein the brain stores tens of thousands of memories in a silent or latent state until recall is required. Such inhibitory engrams can be constructed in neural networks through simple, evolutionarily primitive, synaptic and cellular mechanisms, which are recognized to be involved in the phenomenon

of excitatory-inhibitory (EI) balance observed across the brain.

Neurons and neural circuits normally operate within a preset range of activity. Outside this range, altered levels of neuronal spiking trigger a variety of homeostatic mechanisms ranging from compensatory ion channel expression to local synaptic scaling (3, 4). These homeostatic mechanisms ensure that the activity parameters of a neuron operate around a set point such that the spiking rates remain stable and a balance of depolarizing and hyperpolarizing currents is maintained. As a consequence, excitation and inhibition are both locally and globally balanced, despite plastic changes across neurons and synapses.

A potentially important homeostatic mechanism is potentiation of inhibitory synapses, which occurs under specific conditions and acts to prevent excessive levels of postsynaptic activity (5–9). An underappreciated property of this balancing process is that it naturally creates inhibitory representations or negative images of new, unbalanced excitatory patterns that

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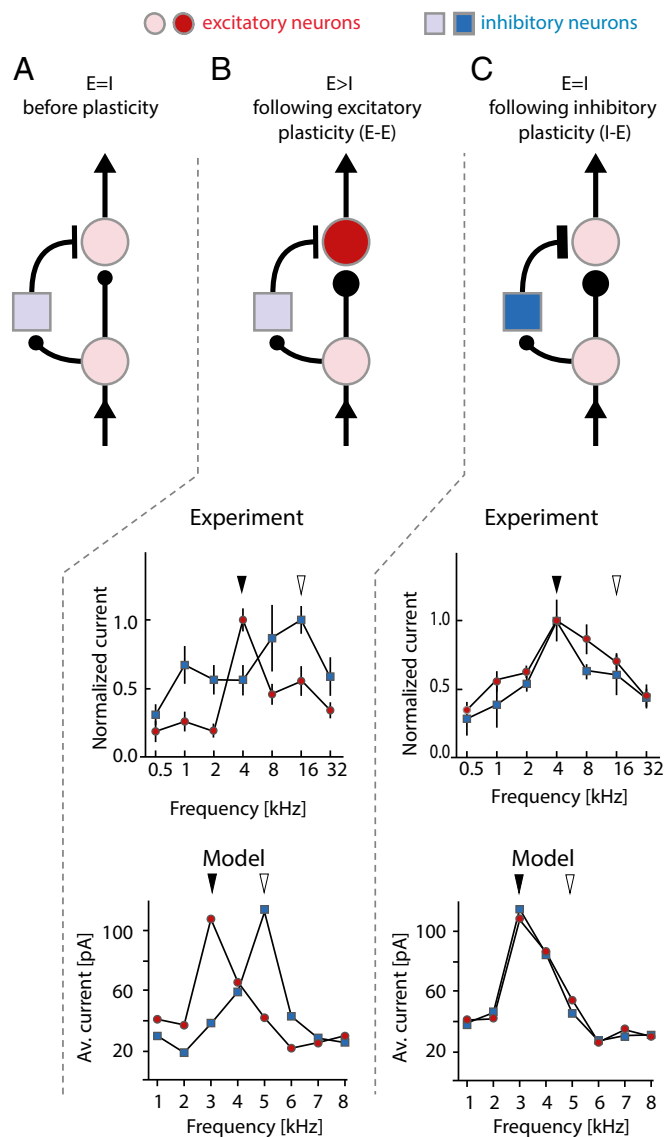
arise within neural networks in response to experience (10, 11). Here, we discuss how these inhibitory engrams created through compensatory inhibitory potentiation contribute to cognitive function, and ask how their disturbance could contribute to clinical features and transdiagnostic traits observed in neuropsychiatric conditions. In doing so, we integrate insights from diverse neurophysiological, behavioral, computational, and brain imaging studies across multiple species to extract and highlight fundamental principles of memory consolidation and recall (7, 8, 10–13).

### Evidence for Restoration of EI Balance Through Inhibitory Synapse Potentiation

Excitation and inhibition appear balanced in cortical neurons, both at a global level (14–16) and a local level (6, 17, 18). At steady state, cortical neurons show closely matched depolarizing and hyperpolarizing currents (16, 17). During receipt of sensory input, the short delay in arrival of matched hyperpolarizing currents constrains action potential firing to a brief temporal window (16, 19). However, this balance is disturbed during learning when excitatory plasticity occurs during a transient reduction in inhibition (12, 18, 20, 21). For subsequent stable storage of learned information, the balance between excitation and inhibition must be restored. One means by which this balance is achieved is via compensatory inhibitory synaptic potentiation (6, 22) (Fig. 1).

At an electrophysiological level, the process of compensatory inhibitory synaptic potentiation has been best characterized in the mammalian auditory cortex. Principal cells in the auditory cortex normally show balanced depolarizing and hyperpolarizing currents across a range of tonal frequencies, but each cell is optimally tuned to a preferred frequency at which maximal excitatory postsynaptic currents (EPSCs) and maximal inhibitory postsynaptic currents (IPSCs) occur (16). Following a simple form of learning where exposure to a specific tone is paired with direct stimulation of the nucleus basalis (NB), a structure that mediates attentional engagement, a shift in the preferred frequency of a principal neuron toward the frequency of the exposed tone can be observed (6) (Fig. 1B). In whole-cell recordings, this shift is first observed in the EPSCs, disrupting the balance between excitation and inhibition at the new frequency (6) (Fig. 1B). This “representational plasticity” appears to depend upon NB stimulation due to the reduction in feed-forward inhibition following acetylcholine release (21, 23). Strikingly, if tone exposure is continued for 120–180 minutes without associated NB stimulation, then rebalancing occurs through potentiation of the IPSC, which shifts to match the EPSC with a peak at the new frequency (Fig. 1C). The mechanism responsible for this EI balance restoration has also been investigated using computational approaches.

Computational simulations indicate that simple synaptic plasticity rules are sufficient to account for rebalancing. In a model network of postsynaptic, integrate, and fire neurons, Vogels et al. (7) applied a simple spike time-dependent plasticity rule that acts on inhibitory-to-excitatory connections (7). The plasticity rule strengthens inhibitory synapses if inhibitory neurons fire within 80 milliseconds of a postsynaptic spike. When this rule is implemented within an unbalanced system where excitatory synapses are stronger than their inhibitory counterparts, simulations show that inhibitory synaptic potentiation occurs until EPSCs and IPSCs are precisely matched. With some tuning of the target spiking rate for the postsynaptic neuron, the learning rate, and the spiking frequency of inhibitory neurons, the experimentally observed phenomenon of EI rebalancing can be accurately reproduced (7) (Fig. 1C). Experimental observations and theoretical models therefore agree that inhibitory potentiation plays a critical role in rebalancing cortical networks following excitatory plasticity.



**Fig. 1.** EI rebalancing observed in rat auditory cortex can be computationally simulated by implementing inhibitory plasticity rules. (A) Schematic canonical circuit diagram showing excitatory neurons in red and inhibitory neurons in blue. The postsynaptic excitatory neuron receives balanced excitatory and inhibitory inputs such that the inhibitory and excitatory currents may be considered to be tuned to the same frequency. (B, Upper) Schematic circuit diagram showing the effect of excitatory plasticity, which initially leads to EI imbalance. (C, Upper) Schematic circuit diagram showing how inhibitory plasticity can subsequently restore EI balance following excitatory plasticity shown in B. (B and C, Middle) In vivo whole-cell recordings in primary auditory cortex with EPSCs shown in red and IPSCs shown in blue. (B) Concurrent cholinergic stimulation and exposure to a tone (black arrow) that is shifted relative to the original preferred frequency of the neuron (white arrow) modifies the EPSC such that it retunes and peaks at the frequency of the exposed tone. (C) After repetitive tonal stimulation (in the absence of cholinergic activity), the IPSC eventually shifts from the original frequency to the new frequency to match and rebalance the modified EPSC. (B and C, Lower) Simulation from a neural network model where the excitatory tuning curve (red circles) is manually changed and a spike time-dependent inhibitory plasticity rule is then applied. After 30 minutes of simulation, the inhibitory tuning curve (blue squares) still shows the original tuning (B); however, after 180 minutes, it has shifted to match and rebalance the excitatory tuning (C). Av., average. Data panels are adapted from refs. 6 and 7.

Although experimental observations and theoretical models point at a critical role for inhibitory plasticity in rebalancing cortical networks, its biophysical implementation is still poorly understood, and underlying mechanisms could vary across brain regions and inhibitory cell types. Moreover, alternative strategies, such as changes in excitatory drive (24), may also contribute to cortical rebalancing. Similarly, at a theoretical level, the precise learning rule responsible for inhibitory potentiation remains open to debate (25) because the implementation of alternative learning rules can also successfully account for cortical rebalancing (26). Nevertheless, additional empirical investigations in rodent auditory cortex emphasize the importance of inhibitory potentiation as a means to rebalance neural circuits, and show that near-coincident pre- and postsynaptic activity is required (9). Furthermore, inhibitory potentiation is reported to be dependent upon NMDA receptors, suggesting that NMDA receptors act as coincidence detectors to coordinate plasticity between coactive excitatory and inhibitory neurons (27–30).

### Negative Images in Adaptive Stimulus Filtering and Behavioral Habituation

Following increased activity across an ensemble of excitatory synapses, the form of EI balancing described above is predicted to result in delayed strengthening of matched inhibitory synapse ensembles (10, 11) (Fig. 2 A–C). This rebalancing creates inhibitory engrams or representations, which counterbalance excitatory representations and ensure that EI balance is maintained in the face of increased excitation. In large-scale neural networks, such inhibitory engrams may underlie multiple fundamental cognitive processes. We first consider their role in habituation, a form of nonassociative, implicit memory that reduces innate responses to irrelevant stimuli (10, 31, 32).

To explain olfactory habituation in *Drosophila*, behavioral genetic analyses have inferred and invoked an inhibitory learning rule similar to the learning rule involved in restoring EI balance in mammalian auditory cortex (8, 10, 33). In insects, odorants are encoded by assemblies of projection neurons in the antennal lobe, a structure homologous to the mammalian olfactory bulb. Many lines of evidence argue that in a neutral environment, prolonged activation of an odorant-specific excitatory assembly results in the selective strengthening of inhibitory synapses onto neurons activated by the odorant (8, 34). This strengthening of inhibitory synapses results in the formation of an inhibitory replica of the specific pattern of odor-induced excitation. The newly created inhibitory representation of odorant-induced excitation acts as a filter specifically to attenuate physiological and behavioral responses to the familiar and inconsequential odorant (10). Significantly, as observed for the EI balancing process associated with retuning cells in mammalian auditory cortex, insect olfactory habituation also requires postsynaptic NMDA receptors, suggesting that a common homeostatic mechanism is at play (8, 9). Together, these observations suggest that olfactory habituation may be usefully considered to arise through a form of EI balancing in which inhibitory potentiation serves to restrain the spiking of a subset of odorant-activated projection neurons to within a behaviorally appropriate range.

Broadly similar mechanisms for auditory habituation have recently been reported in the mammalian cortex, albeit without direct evidence for the underlying synaptic mechanism (13) (Fig. 2 D–L). Here, in vivo GCaMP-based imaging shows that tone-specific auditory habituation is associated with reduced calcium fluxes in layer 2/3 pyramidal cells in the rodent auditory cortex. This reduction in pyramidal cell activity is accompanied by enhanced activity of somatostatin (SOM)-positive inhibitory neurons in the same brain region. Habituation can thus be characterized as

a 10-fold reduction in the excitation/inhibition ratio of population activity. Interestingly, when attention to the tone becomes important for task performance, SOM inhibition is reduced and pyramidal cell responses to the tone increase even in habituated animals (13).

Taken together, data from insect and mammalian nervous systems suggest that habituation may generally arise through the formation of inhibitory engrams created via inhibitory synaptic potentiation (6, 7, 9, 10, 13). We note that in the mammalian brain, the subtypes of inhibitory interneurons involved and their mode of regulation may depend on the particular neural circuit in which they are embedded. For instance, whereas SOM-positive interneurons have been identified as playing a critical role in auditory habituation, parvalbumin (PV)-positive interneurons are noted for their more pervasive role in equalizing the ratio between inhibition and excitation (35). Although the precise interaction between these different subtypes is not yet clear, the model in which matched inhibition drives habituation generates important predictions. One notable corollary suggests that innate behavioral responses attenuated by habituation can later be rapidly restored through disinhibition, via inputs that silence the relevant inhibitory neurons (13).

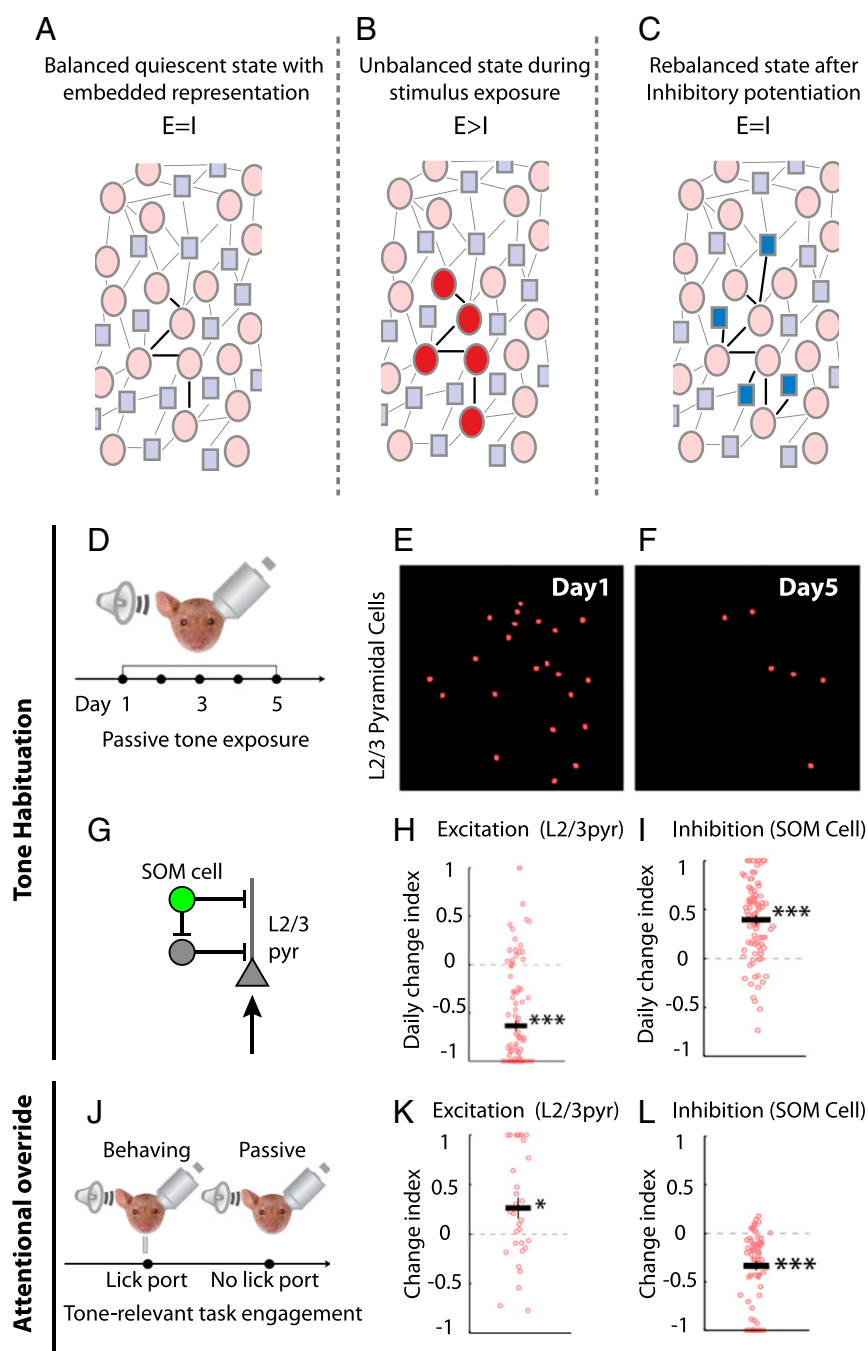
Disinhibition of inhibitory engrams may provide an explanation for the psychological phenomena of dishabituation and attentional override, two defining properties of habituation (10, 31, 32). Inhibitory engrams can therefore attenuate expression of unnecessary behavioral responses, whereas selective modulation of EI balance may provide a mechanism to flexibly recover that expression.

### Regulating the Formation of Inhibitory Engrams

The formation and affect of inhibitory engrams appear to be regulated by context. By definition, behavioral habituation occurs to nonsalient and inconsequential stimuli. Indeed, contextual inputs that confer salience or assign emotional significance to a stimulus are known to block habituation actively (10, 31, 32). The affect of these contextual inputs is probably best revealed by physiological studies investigating regulation of intrinsic inhibitory synaptic plasticity (metaplasticity) in the circuit that underlies habituation of a siphon withdrawal reflex in *Aplysia* (36, 37). When multiple mild stimuli are applied to the tail, a reduced tail touch-induced siphon withdrawal is observed as a consequence of potentiated inhibitory feedback onto siphon motor neurons. By contrast, a single electrical shock applied to the tail increases tail touch-induced sensitized siphon withdrawal through serotonin-dependent potentiation of excitatory sensorimotor synapses. Remarkably, the tail shock-induced release of serotonin not only potentiates excitatory connections but also blocks habituation by reducing the ability of repeated mild tactile tail stimulation to cause inhibitory interneuron-motor neuron synapse facilitation (36, 37) (Fig. 3).

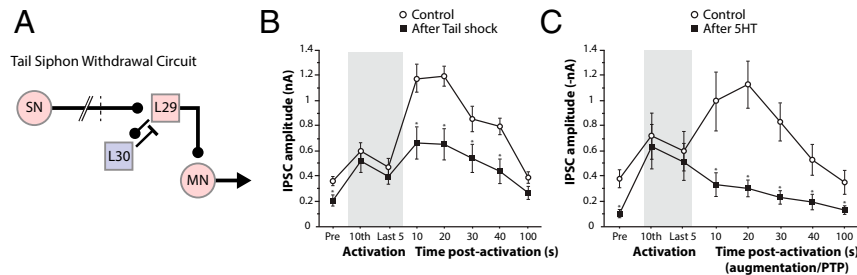
Interestingly, as discussed earlier, in the mammalian auditory cortex, inhibitory potentiation following EPSC enhancement occurs in response to continued tonal stimulation (Fig. 1C), but this inhibitory potentiation has only been observed in the absence of cholinergic NB stimulation (6). Because cholinergic NB afferents are known to mediate disinhibition (6), their activation would be predicted to inhibit inhibitory plasticity required for EI rebalancing. More generally, we speculate that when memories are actively encoded during transient periods of high neuromodulator concentration, EI rebalancing mechanisms may be disrupted. Abnormal persistence of such neuromodulation may result in so-called “maladaptive memories” that persistently reactivate, as observed in posttraumatic stress disorder (38). In conclusion, processes that underlie the restoration of EI balance both in the retuning of cells in the mammalian auditory cortex and in behavioral habituation best described in invertebrates not only rely on inhibitory plasticity but also appear to be regulated by context-dependent neuromodulation.

  excitatory neurons     inhibitory neurons



**Fig. 2. Inhibitory representations can mediate sensory habituation.** (A–C) Schematic model for habituation based on Ramaswami (10). (A) Balanced circuit of inhibitory neurons (blue) and excitatory neurons (red) showing a connected excitatory representation. (B) Continuous exposure to the relevant percept for the excitatory representation referred to in A results in EI imbalance due to sustained excitatory activity (bright red). (C) EI rebalancing through inhibitory potentiation creates a matched inhibitory representation (bright blue) to suppress the excitatory representation. (D–I) Direct evidence for inhibitory potentiation during habituation from mouse auditory cortex. Adapted from ref. 13. (D) Habituation protocol: Mice were passively exposed to a tone for five days. Mice experienced 200 trials per day, and on each trial, the tone lasted for five to nine seconds. In vivo two-photon images of tone-evoked GCaMP6-expressing neurons in layer 2/3 (L2/3) of auditory cortex on day 1 (E) and on day 5 (F) are shown. Excitation was sparser on day 5. (G) Canonical cortical microcircuit showing SOM-positive inhibitory neurons connecting to L2/3 excitatory neurons. pyr, pyramidal. The daily change index, used to assess the change in activity across days 1–5, showed reduced excitation in L2/3 (H), which was accompanied by an increase in excitation in SOM-positive inhibitory neurons (I). Together, these results suggest a reduction in excitation and an increase in inhibition following habituation. (J–L) In habituated animals, tone-relevant task engagement is accompanied by disinhibition of L2/3 excitatory cells. Adapted from ref. 13. (J) Tone-relevant task protocol: Habituated animals were trained to lick a food port in response to the habituated tone. The response was then compared with passive exposure to the habituated tone in the absence of the food port. (K and L) Change index reflects the increase in L2/3 neuron excitation during the tone-relevant task, relative to the passive exposure. A task-associated increase in the excitatory response was observed (K), which was accompanied by a decrease in tone-evoked activity in SOM-positive neurons (L), consistent with attentional disinhibition. \* $P < 0.05$ , \*\*\* $P < 0.001$ .





**Fig. 3. Neuromodulation gates inhibitory potentiation and EI balancing.** Adapted from ref. 36. (A) Simplified circuitry that mediates the tail siphon withdrawal response in *Aplysia*. Sensory neurons (SN) in the tail activate an excitatory interneuron L29 (shown in pink) that excites both the siphon motor neuron (MN) and the inhibitory interneuron L30 (shown in blue). L30 mediates feedback inhibition onto L29. Tail shock results in serotonin release on both L30-L29 and sensorimotor synapses. Tail siphon withdrawal habituation is accompanied by enhanced L30-L29 transmission (increased IPSCs recorded in L29), which can also be induced by direct L30 stimulation at frequencies normally induced by tail touch (36, 37). (B and C) Brief tetanic stimulation was delivered to the inhibitory interneuron L30 (shaded bar indicates stimulation period). The IPSC was recorded from excitatory interneuron L29. Following stimulation, the IPSC from L29 was enhanced for up to 60 seconds (control condition, ○). However, this enhancement was not observed if the tetanic stimulation to the inhibitory interneuron L30 was delivered 90 seconds after a tail shock (B,  $*P < 0.05$  relative to the control condition represents a significant difference). Furthermore, this enhancement was also not observed if the tetanic stimulation to the inhibitory interneuron L30 was delivered 90 seconds after application of serotonin (C,  $*P < 0.05$  relative to the control condition indicates a significant difference). Together, these results suggest that inhibitory potentiation is similarly blocked by either tail shock or direct application of serotonin. In other scenarios, neuromodulators could act by preventing inhibitory neuron firing. PTP, posttetanic potentiation.

### Inhibitory Engrams in Associative Memory Storage and Recall

In addition to the proposed role for inhibitory potentiation in cellular retuning and habituation, recent experiments in humans suggest that inhibitory potentiation may play a fundamental role in the storage and recall of associative memories (11) (Fig. 4). Using representational fMRI (39), the relative overlap between representations that support two associated stimuli can be used to index expression of associative memories immediately after learning within the region of cortex that typically represents the stimuli in question. For example, when participants learn to associate rotationally invariant abstract shapes, an increase in representational overlap is observed in an anterior region of the lateral occipital complex (Fig. 4D). This observed increase in representational overlap is thought to reflect excitatory synaptic potentiation that occurs between representations for two associated stimuli during learning (40). However, over time, this representational overlap decreases, rendering cortical memory expression invisible to fMRI 24 hours after learning (Fig. 4D). Remarkably, when the level of the cortical inhibitory transmitter GABA is reduced using anodal transcranial direct current stimulation, the expression of the associative memory reappears (Fig. 4D). Thus, associative memory transitions from an early form that is visible to fMRI to a later quiescent state that can only be revealed under conditions of reduced inhibition. This result is consistent with the idea that excitatory synaptic potentiation that occurs during learning is later matched by equivalent inhibitory synaptic potentiation.

Together, these observations point to the following model (Fig. 4). Excitatory potentiation during learning enhances connectivity between distinct neuronal ensembles that encode associated stimuli. EI balance is then restored by inhibitory synaptic potentiation, which, at a network level, creates an inhibitory engram of the newly strengthened excitatory connections to match and cancel/reduce their effect. The creation of matched inhibitory engrams ensures that excitatory connections are balanced by equally strong inhibition. Thus, strong associations are stored in a latent state that allows them to be selectively revealed by disinhibition (11).

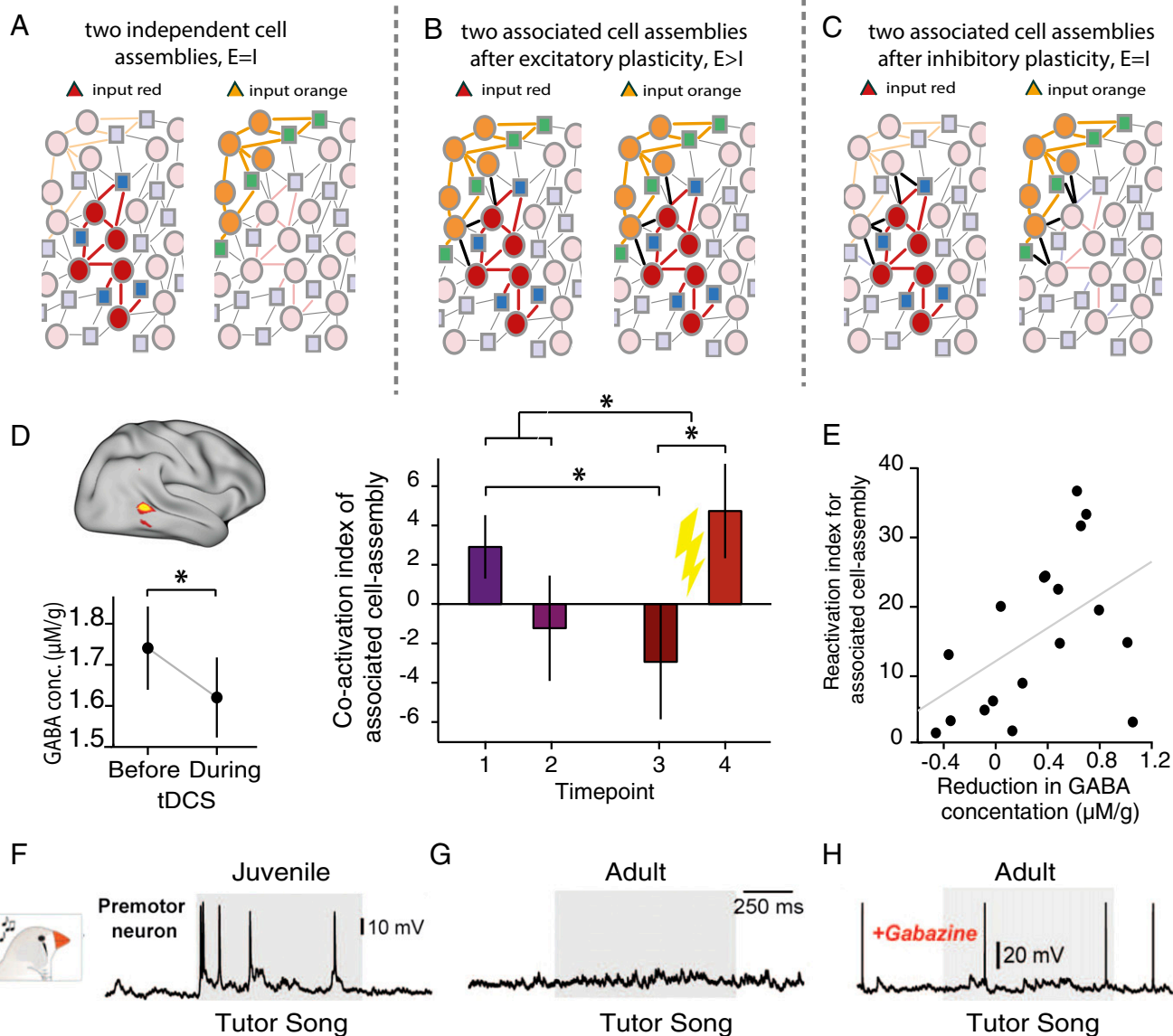
These findings are consistent with electrophysiological recordings in a songbird while it listens to a tutor's song (41) (Fig. 4F–H). When juvenile zebra finches are exposed to a tutor song, excitatory neurons in the high vocal center (HVC) are active (Fig.

4F). However, in adult birds, HVC neuron responses to tutor song are silenced (Fig. 4G). Disinhibiting the HVC via application of a GABA agonist, gabazine, releases excitation to reveal a response not dissimilar to the response observed in developing juvenile birds (Fig. 4H), suggesting that they are otherwise silenced by inhibitory inputs. Indeed, as juvenile birds learn to imitate a tutor's song, inhibitory currents in HVC neurons become more precisely locked to the stimulus. This finding suggests that inhibition plays an important role in protecting stored representations from interference with closely related information.

The temporal period and the precise mechanisms involved in the inferred EI balancing process are not known. However, a particularly attractive notion is that this rebalancing process occurs during sleep, potentially during sharp-wave ripples, when previous experiences and memories are replayed offline (42–44). We speculate that rebalancing during sleep could be one of the ways in which sleep contributes not only to memory consolidation but also to homeostatic processes that prepare the brain for new learning.

Within this framework, it remains unproven as to how stored information is normally recalled. Although mechanisms for memory recall could vary across brain systems and circuits, we suggest neocortical disinhibition as one potential strategy to allow release of strongly connected excitatory ensembles from balanced strong inhibition (13, 39, 41). Thus, in addition to the established function of local disinhibition to enhance excitatory transmission (18) and initial encoding of memory (12, 20, 21), disinhibition may play a significant role in facilitating release and recall of previously learned but latent cortical associations (11).

At the microcircuit level, experimental evidence for disinhibition in memory recall is evident following fear conditioning, the most simple form of associative memory (12, 45, 46). Here, when a conditioned auditory stimulus is used to trigger fear memory recall in rats, synchronous spiking activity of principal neurons is accompanied by phasic inhibition of a subset of PV-positive GABAergic interneurons in the dorsomedial prefrontal cortex (PFC) (46). This inhibition of inhibitory neurons can be conceived as a disinhibitory mechanism that promotes release of the fear memory engram, and thus recall of the learned fear response. Indeed, optogenetic inhibition of these PV GABAergic interneurons is sufficient to disinhibit prefrontal principal neurons and elicit a freezing response (46). During natural fear memory recall, this disinhibition may be mediated by vasointestinal peptide-positive interneurons (47–49). However, details of the disinhibitory





whereas recall is disrupted, potentially by a failure of disinhibitory pathways that mediate recall of stored memories (Fig. 5).

### Implications for EI Disruption in Clinical Conditions

Many investigators have worked toward establishing a common framework to account for the behavioral phenotypes common to psychiatric conditions, which otherwise have complex and distinct genetic bases (68–73). Our premise that a major function of EI balancing is to mask irrelevant perceptions, memories, and behavior allows mechanistic predictions to be drawn that concern specific cognitive dysfunctions that may arise when the process is disrupted (Fig. 5). These predictions are particularly relevant, given several lines of genetic and physiological evidence pointing to an imbalance between excitation and inhibition as a possible substrate for symptoms observed in a range of clinical conditions, including autism and schizophrenia (70, 71, 74–81).

One predicted consequence of defects in the formation of inhibitory engrams is weak behavioral habituation that could arise either from increased neuromodulatory activity that blocks the formation of inhibitory representations or from defects in inhibitory function and plasticity. Remarkably, weak habituation is a particularly common feature of autism spectrum disorders (ASD) that is also observed in schizophrenia (31, 82–85). Weak habituation could also result in several downstream cognitive changes observed in autism and in schizophrenia, including altered sensory gating, stimulus hypersensitivity, and reduced ability to cope in complex environments, where multiple signals may appear salient and compete for attention (10, 31, 82, 85, 86). It is also conceivable that additional features of autism, such as sticky attention, wherein familiar stimuli remain engaging for unusually long periods of time while novel stimuli seem challenging, could arise from weak habituation and hypersensitivity to novel stimuli (85–87). An additional predicted consequence of defects in inhibitory rebalancing that we postulate to be necessary for masking associative memories is strong and contextually inappropriate recall of associative

memories. Strikingly, unusually strong and vivid associative memories are often associated with ASD (88). It is conceivable that vivid recall of unconnected memory traces could lead to inappropriate associations and to thought disturbances and delusions observed in schizophrenia (Fig. 5). These potential consequences of weak inhibitory rebalancing are consistent with theoretical models in which EI imbalance in neural networks has been used to account for hallucinations and delusional experiences that may be observed in both autism and schizophrenia (86, 89, 90).

This mechanistic proposal that specific cognitive features and transdiagnostic traits of autism and schizophrenia arise, in part, due to defects in the creation of inhibitory engrams is now experimentally testable, taking advantage of MRI paradigms that provide an index for EI balance across associative memories (11, 39). One simple testable prediction is that EI imbalance across cortical associations will persist for unusually long time periods in affected individuals. Although the validity of this proposal will require additional investigation, we suggest that a model that connects synaptic mechanisms of EI balancing in neural circuits with fundamental cognitive processes altered in psychiatric conditions may provide a unifying principle to explain common disturbances that arise from diverse molecular and genetic perturbations.

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